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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **McDonough**

Serial No.: **09/539,132**

Filed: **3/30/00**

For: **Mechanism and Business  
Method for Implementing a  
Service Contract Futures  
Exchange**

§ Group Art Unit: **Unknown**

§

§ Examiner: **Unknown**

§

§ Attorney Docket No.: **CMCDO.00001**

§

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By

Rudolph J. Buchel Jr.

TRANSMITTAL DOCUMENT

Assistant Commissioner of Patents  
Washington, D.C. 20231

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- Reference AA;
- Form PTO 1449; and
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Respectfully submitted,

Rudolph J. Buchel, Jr.

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ATTORNEY FOR APPLICANT\*

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Docket No. CMCDO.00001

PATENT

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In re Application of: **McDonough**

Serial No.: **09/539,132**

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Group No.: UNKNOWN  
Examiner: NOT ASSIGNED

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**INFORMATION DISCLOSURE STATEMENT**

Pursuant to the guidelines for Information Disclosure Statements set forth in 37 C.F.R. §§ 1.97-1.98 and MPEP § 609, Applicant submits herewith patents, publications, or other information of which he is aware, which he believes may be material to the examination of this application and in respect of which there may be a duty of disclosure in accordance with 37 C.F.R. 1.56.

A list of patent(s) and/or publications(s) is set forth on the attached Form PTO-1449. A copy of each item listed is supplied herewith.

It is submitted that these references do not disclose, teach, or suggest the present invention and thus the claims herein are patently distinct therefrom.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. 1.97(b) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. 1.56(a) exists.



The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, whichever event occurs last. 37 C.F.R. 1.97(b).

The person making this statement is the practitioner who signs below.

Respectfully submitted,

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March 29, 2001

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CERTIFICATE OF MAILING

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Signature

Date: March 29, 2001

Rudolph J. Buchel Jr  
(type or print name of person certifying)

Form PTO-1449

ATTORNEY DOCKET NO.  
CMCDO.00001SERIAL NO.  
09/539,132LIST OF PRIOR ART CITED  
BY APPLICANT

(Use several sheets if necessary)

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APPLICANT  
MCDONOUGHFILING DATE  
3/3/00GROUP ART UNIT  
UNKNOWN

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE
	AA 6,067,532	5/23/00	Gebb		

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO

## OTHER PRIOR ART (including author, title, date, pertinent page, etc.)


DATE CONSIDERED

EXAMINER

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.